

# IRA DISTRIBUTION FORM

# GUGGENHEIM

**Do not use this form to request a distribution from a 403(b) account; use the 403(b)(7) Distribution Form instead.**

For more information, contact us toll-free at 800.820.0888, direct dial 301.296.5100 or fax 301.296.5103.

Visit our web site at [GuggenheimInvestments.com](http://GuggenheimInvestments.com).

Standard delivery: P.O. Box 10839, Rockville, MD 20849-0839

Overnight delivery: 805 King Farm Boulevard, Suite 600, Rockville, MD 20850

Use this form to request distributions from your IRA account. Distributions may be requested on a one-time or systematic basis. Please be sure to complete Section 4 and sign the form. If you have any questions about completing this form, please contact Client Services at the number above.

## 1. ACCOUNT INFORMATION

Account Name	Social Security Number	Date of Birth
Account Number	Telephone	

## 2. METHOD OF PAYMENT

- Check(s) to address of record
- Wire(s)<sup>1</sup> (complete section at right)
- ACH/EFT<sup>2</sup> (complete section at right)
- Distribute to a Guggenheim Investments account<sup>3</sup>

Bank Instructions (if applicable)

- Add **new** bank instructions to my account. A **signature guarantee** is required to add new bank instructions. A **voided check** must accompany new ACH/EFT instructions.
- Use my **existing** bank instructions.

Account #: \_\_\_\_\_

Fund: \_\_\_\_\_

Bank Name: \_\_\_\_\_

ABA Number: \_\_\_\_\_

Account Number: \_\_\_\_\_

Account Name: \_\_\_\_\_

Note: Withdrawals from Money Market and another fund may result in 2 checks, wires or ACH deposits being sent.

<sup>1</sup> Your bank may charge you a fee to receive a wire. Withdrawals from Money Market and another fund may result in 2 wires. Guggenheim Investments may charge a \$15 fee for outgoing wires under \$5,000.

<sup>2</sup> A maximum of \$50,000 may be redeemed by ACH per day.

<sup>3</sup> See table in Section 5 for signature guarantee requirements.

Additional Information for Wires ONLY:

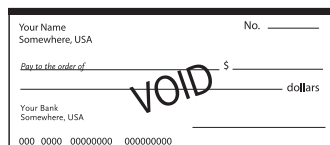
Bank City/State/ZIP Code: \_\_\_\_\_

For Further Credit (if applicable): \_\_\_\_\_

Note: Instructions to wire to foreign institutions must include a U.S. correspondent bank, SWIFT number, and U.S. ABA number. Attach additional pages as needed.

A voided check is **required** to establish ACH. (Deposit slips may be used for savings accounts only.)\*

### ATTACH VOIDED CHECK HERE



\*For savings accounts: Please contact your bank to verify that the ABA number on the deposit slip is correct for ACH transactions. We may also contact you or other sources to verify the ABA number.

### 3. DISTRIBUTION INFORMATION

#### A. Distribution Type (check one)

- Normal Distribution (over age 59½)       72(t) Distribution (Substantially Equal Periodic Payments)
- Premature Distribution (under age 59½)       Other \_\_\_\_\_  
(Specify Type)
- Disability

#### B. Distribution Amount (check one)

- Complete Distribution.** Please liquidate all assets in my account.
- Partial Distribution.** Please liquidate \$ \_\_\_\_\_ or \_\_\_\_\_ shares from my account.
- Redeem from \_\_\_\_\_  
(Fund Name)
- Redeem proportionally from all funds in my account.

Provide tax withholding information in Section 4. Failure to complete the Tax Withholding section will result in 10% being withheld for Federal taxes.

**Amount specified above will be a gross distribution unless indicated otherwise.**

#### C. Distribution Frequency (select "One-Time Distribution" or "Periodic Distribution")

##### One-Time Distribution

- Process immediately
- Process on \_\_\_\_\_ (Specify Month) \_\_\_\_\_ (Specify Date)

##### Periodic Distribution

- Please start my  Monthly  
 Quarterly (Mar, Jun, Sep, Dec, unless specified)  
 Semiannual  
 Annual
- payment on \_\_\_\_\_ (Specify Month) \_\_\_\_\_ (Specify Date)

OR

*If the date selected falls on a weekend or holiday, your request will be processed on the previous business day, unless specified otherwise.*

### 4. TAX WITHHOLDING (FORM W-4P: OMB NO. 1545-0074)

- No**, I do not want federal income tax withheld from my distribution.
- Yes**, I want federal income tax withheld from my distribution.

Please withhold \_\_\_\_\_%. *The minimum withholding amount is 10%.*

**If no election is made, Guggenheim Investments will automatically withhold 10% for tax purposes.**

**PLEASE NOTE:** Even if you elect not to have federal income tax withheld, you are liable for payment of federal income tax on the taxable portion of your distribution. You may also be subject to tax penalties under the estimated tax payment rules if your payment of estimated tax and withholding, if any, are not adequate.

#### BASIC INFORMATION ABOUT WITHHOLDING ON DISTRIBUTIONS

Use Form W-4P to tell payers the correct amount of federal income tax to withhold from your payment(s). You may also use Form W-4P to choose (a) not to have any income tax withheld from the payment (except for eligible rollover distributions or payments to U.S. citizens delivered outside the United States or its possessions) or (b) to have an additional amount of tax withheld.

Guggenheim Investments must withhold at a flat 10% rate from nonperiodic and periodic payments unless you choose not to have federal income tax withheld. Distributions from an IRA that are payable on demand are treated as nonperiodic payments. You can choose not to have income tax withheld from a nonperiodic payment by submitting Form W-4P, or a substitute form (Section 4 above), to Guggenheim Investments and checking the appropriate box on the form. You can choose not to have income tax withheld from a periodic payment by submitting Form W-4P, or a substitute form, to Guggenheim Investments and checking the appropriate box on the form.

Generally, your choice not to have income tax withheld will apply to any later payment from the same plan. If you want to revoke your previously filed exemption from withholding, for future distributions, file another Form W-4P or substitute form.

*Caution: There are penalties for not paying enough federal income tax during the year, either through withholding or estimated tax payments.*

## 5. SIGNATURE

\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

**MEDALLION SIGNATURE GUARANTEE**  
Only required if you provided new bank instructions or selected "Distribute to a Guggenheim Investments account".  
See instructions and table below.

**If you selected "Distribute to a Guggenheim Investments account":**

- A signature guarantee is not required if you are depositing your withdrawal proceeds into an account with the exact same registration.
- If registrations are not exact, please use the table below to determine if a signature guarantee is required.

		<b>Receiving Account Type</b>		
<b>For Distributions Between Guggenheim Investments Accounts Only</b>		<b>Individual, Joint, UGMA, 403(b), all IRAs</b>	<b>Self-Directed Retirement Plans, Corporations and other Entities</b>	<b>Trusts</b>
<b>Distributing Account Type</b>	<b>Individual, Joint, UGMA, 403(b), all IRAs</b>	Signature guarantee required if registrations are not identical	Signature guarantee required regardless of amount	Please call Client Services at 800.820.0888 for details
	<b>Self-Directed Retirement Plans, Corporations and other Entities</b>	Signature guarantee required regardless of amount	Signature guarantee required regardless of amount	Please call Client Services at 800.820.0888 for details
	<b>Trusts</b>	Please call Client Services at 800.820.0888 for details	Please call Client Services at 800.820.0888 for details	Please call Client Services at 800.820.0888 for details